

**A SYSTEM AND METHOD FOR ADAPTING TRANSMISSION RATE OF A  
MULTIMEDIA STREAMING SERVER USING A "VIRTUAL CLOCK"**

The present invention relates to multimedia streaming over a network. More  
5 particularly, the present invention relates to adapting the transmission rate of streamed  
multimedia to changing network conditions. Most particularly, the present invention  
introduces the concept of a "Virtual Clock" as a mechanism for a streaming server to  
perform dynamic transmission rate adaptation in a way that balances the bandwidth  
requirement of the content to be transmitted with the bandwidth available of the  
10 Internet.

The design and implementation of state-of-the-art streaming servers generally  
includes a constant-frequency clock that is essentially the same as the computer clock of  
the computer hosting the server application. Packet scheduling and transmission are  
carried out according to the constant rate of this clock. The transmission rate is pre-  
15 determined only by the encoded content. This is evidenced in the implementation of  
Darwin Streaming Server, that was developed by Apple and its source code that is  
openly available to public, see, for example,  
<http://developer.apple.com/darwin/projects/streaming/>.

Since the available bandwidth of packet switching networks is time-varying, it is  
20 necessary for a streaming application to be able to adjust its transmission rate according  
to network conditions. Currently available techniques for rate adaptation include layer  
switching and selective layer subscription.

In layer switching, the server maintains multiple copies of the same content but  
encoded with different qualities and therefore different bit rates. The server can  
25 dynamically switch between these copies (or layers) to achieve rate adaptation.

In selective layer subscription, the server only store one copy of the content encoded by a scalable coding scheme such as Fine-Granular Scalability (FGS) or other similar scheme. A scalable coding scheme generates multiple accumulative layers that can be sequentially added up at the receiver side to get better and better decoded quality.

5 In real time, the server only transmits the sub-set of the layers that have been explicitly requested, i.e., subscribed to, by the receiver. When the receiver changes its layer subscriptions according to perceived network conditions, the rate adaptation is achieved. The latter scheme is widely proposed for multicast and commonly referred to as receiver-driven layer multicasting.

10 The limitation of the above techniques is their adaptation granularity. Both schemes can only achieve coarse-grained rate adaptation. In other words, they can only adapt rates to a level that is not frequent enough. However, experiments have shown that network conditions can change dramatically over relatively small time scales due to dynamic background traffic or temporary degradation of a wireless link.

15 An adaptive playout technique has been proposed. In this technique, the receiver dynamically changes video playout speed to avoid buffer starvation or overflow in the event of network congestion. However, this technique is proposed only for the receiver side, and has no effect on packet transmission over the network. In fact, a combination of the present invention with this proposed adaptive playout strategy may  
20 result in a more efficient and robust streaming technique.

Thus, a method that can achieve fine-grained rate adaptation in streaming applications is highly desirable. The present invention provides a “Virtual Clock” having variable frequency that can be used by a multimedia streaming server to dynamically adapt its transmission rate to changing network conditions. This “Virtual Clock” compensates for a potential limitation of the Internet Real-time Transmission Protocol (RTP), that stamps every packet it delivers with a timestamp and expects the server using this timestamp to schedule the transmission of this particular packet. Consequently, the transmission rate is pre-determined by the encoded multimedia content when RTP is used. By providing a “Virtual Clock” according to the present invention, the multimedia streaming server has a mechanism to overcome this RTP limitation and perform transmission rate adaptation in a way that balances the bandwidth requirement of the content and the bandwidth availability of the network.

The “Virtual Clock” of the present invention addresses the issue of fine-grained rate adaptation. A streaming server needs a clock to schedule the transmission of time-stamped RTP packets. If the clock moves forward at a constant rate, then the transmission rate will be pre-determined by the RTP timestamps that are normally generated at coding stage.

By contrast, a “Virtual Clock” according to the present invention, adopts a time-varying frequency. When such a clock is used by a server to schedule transmissions, it provides a variable to be added to the transmission rate that was pre-determined by the encoder. In this way, the transmission rate can be elastic in its response to changing network conditions.

For example, assume the frequency for a real clock is 1 100, as illustrated in FIG. 1a. As illustrated in FIGs. 1b and 1c, respectively, the "Virtual Clock" can take a frequency either larger 102 or smaller 104 than 1. When the frequency of the "Virtual Clock" becomes larger 104 than 1, it will move faster than the real clock. Then, even if  
5 the timestamp sequences of a group of RTP packets remain unchanged, the intervals 101 between consecutive packets are shortened 103 by using the "Virtual Clock" to schedule them. The RTP packets appear at the network interface more frequently than normal, leading to an increase in the transmission rate over that pre-determined by the encoder. By contrast, when the "Virtual Clock" takes on a frequency smaller 104 than  
10 1, the intervals 101 between consecutive packets are lengthened 105 and the packets appear at the network interface less frequently than normal, leading to a decrease in the transmission rate over that pre-determined by the encoder. Whenever there is a change to the frequency of the "Virtual Clock", there will be a correspond change in the transmission rate. Therefore, the "Virtual Clock" according to the present invention, is  
15 an efficient system and method for streaming applications to adapt the transmission rate of a sequence of time-stamped RTP packets to network conditions.

Since the adjustment of the frequency of the "Virtual Clock" can be carried out over any time scale, particularly over small time scales, the "Virtual Clock" of the present invention can be used to achieve fine-grained rate adaptation and is the most  
20 important characteristic of "Virtual Clock". By combining the "Virtual Clock" with other methods, such as in the example presented above, a streaming server is able to adapt its transmission rate over both large and small time scales, achieving better responsiveness to dynamic network conditions. Improved responsiveness leads to better network resource utilization and better video quality.

FIG. 1a illustrates packet arrival time at the network interface for a real clock.

FIG. 1b illustrates packet arrival time at the network interface for a "Virtual Clock" according to the present invention having a frequency greater than that of the real clock illustrated in FIG. 1a.

5 FIG. 1c illustrates packet arrival time at the network interface for a "Virtual Clock" according to the present invention having a frequency less than that of the real clock illustrated in FIG. 1a.

Assume  $f(t)$  is the frequency of the "Virtual Clock",  $R_0(t)$  is the pre-determined RTP packet rate,  $R_L(t)$  is the network bandwidth that is available to this  
 10 streaming application, and the frequency of a real clock is 1. Also assume  $T$  is a time period in which both the real clock and the "Virtual Clock" advance the same distance in time space. That is

$$T = \int_0^{\tau} f(t) dt$$

15 (1)

In a preferred embodiment, the frequency of the "Virtual Clock" is configured as follows

$$f(t) = \begin{cases} R_L(t) / R_0(t) & \text{when } t \leq \tau \\ 0 & \text{when } t > \tau \end{cases}, \text{ where } \tau \text{ is determined by } T = \int_0^{\tau} f(t) dt$$

20 (2)

The formula (1) prescribes a general principle about how to configure the frequency of the "Virtual Clock" such that after every T time the two clocks re-synchronize, which is necessary for real-time streaming applications.

$R_0(t)$  is obtained from the encoded contents that are stored in the server.  $R_L(t)$  is measured by either the network interface driver at the server, or some dedicated network components residing in the network or at the receiver, and that calculates available bandwidth for the streaming application.

For example, in the instance of in-home 200 streaming over wireless illustrated in FIG. 2, due to radio frequency interference and channel fading, the wireless link capacity (such as  $R_L(t)$ ) can change with time. In a preferred embodiment illustrated in FIG. 2, a monitor is placed into the wireless network driver 203 so that the driver measures  $R_L(t)$  and sends the measurement back 205 to the streaming server 206 allowing the transmission rate to be adapted to the wireless link status in real time. In this way, unnecessary packet drops can be avoided and the overall throughput can be improved.

In another preferred embodiment, in order to provide "Virtual Clock" service in parallel with real clock service to streaming applications by a host computer, a kernel function is implemented that has the form

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20      void    getvirtualclockfrequency(double    demandbandwidth,    double    *
          virtualfrequency).
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When invoked, this function interacts with the network card driver or lower

layer protocols to return a virtual frequency to the server. The server then maps the real clock to the "Virtual Clock".

As illustrated in FIG.3, the "Virtual Clock" of the present invention can be implemented at the application layer 300, but its frequency is controlled by a lower  
5 layer, in a preferred embodiment this is the link layer (or layer 2) 301. The link layer keeps monitoring the link status. If the available capacity is higher than a targeted capacity (a control reference), then the link layer will send up a clock frequency  $f(t)$   
302 larger than 1, otherwise, smaller than 1.

The systems and methods of the present invention, as described above and  
10 shown in the drawings, provide for a 'virtual 'clock' base on changing network conditions. It will be apparent to those skilled in the art that various modifications and variations can be made in the methods and systems of the present invention without departing from the spirit or scope of the invention. Thus, it is intended that the present invention include modifications and variations that are within the scope of the appended  
15 claims and their equivalents.